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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/072,209	02/06/2002	Glenn W. Dodwell	

CONFIRMATION NO. 3949

## FORMALITIES LETTER



\*OC000000007562360\*

RICHMOND, HITCHCOCK,  
FISH & DOLLAR  
P.O. Box 2443  
Bartlesville, OK 74005

Date Mailed: 03/01/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/09/2002 HND0R1 00000099 161575 10072209  
01 FC:105 130.00 CH



33936US1  
Serial No. 10/072,209  
ATTN.: Box Missing Parts

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Glenn W. Dodwell, Robert W. Morton and Jason J. Gislason

Serial No.: 10/072,209

Group Art Unit: Not Assigned

Filed: 02/06/2002

Examiner: Not Assigned

For: DESULFURIZATION AND SORBENTS FOR SAME

**SUBMISSION OF MISSING PARTS OF APPLICATION**

Honorable Commissioner for Patents  
ATTN.: Box Missing Parts  
Washington, D.C. 20231

Sir:

In response to the Communication from the Application Branch dated March 1, 2002, a copy of which is attached, applicants submit the accompanying Declarations. The application was filed on February 6, 2002, by Express Mail and identified by attorney's docket number 33936US1. This application has been given the Serial No. 10/072,209 by the Patent and Trademark Office.

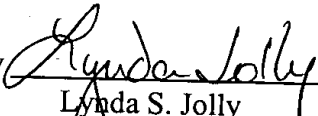
The Commissioner is hereby authorized to charge the appropriate surcharge under 37 C.F.R. 1.16(e) and any additional fees which may be required under 37 C.F.R. 1.16 or 37 C.F.R. 1.17, or credit any overpayment, to Deposit Account 16-1575, but is not authorized to charge any fee provided for under 37 C.F.R. 1.18.

This paper is also accompanied by an Assignment of the above-identified application.

It is respectfully requested that these papers be recorded and entered into the record.

Respectfully submitted

RICHMOND, HITCHCOCK,  
FISH & DOLLAR

By   
Lynda S. Jolly  
Registration No. 32,736

LSJ:ck

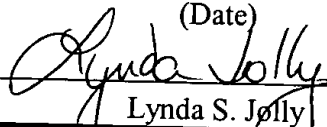
RICHMOND, HITCHCOCK,  
FISH & DOLLAR  
P.O. Box 2443  
Bartlesville, Oklahoma 74005  
1-918-661-0611

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

MAR 28 2002

(Date)

  
Lynda S. Jolly



Mim

33936US1

MP ✓

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re Application of: Glenn W. Dodwell, Robert W. Morton and Jason J. Gislason  
Serial No.: 10/072,209 Filed: 02/06/02  
For: DESULFURIZATION AND SORBENTS FOR SAME

**PATENT RECORDATION FORM COVER LETTER**

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

Please record the attached, original, executed assignment for the above-identified patent application.

1. The name(s) of the conveying party(ies):  
Glenn W. Dodwell  
Robert W. Morton  
Jason J. Gislason
2. The name and address of the receiving party is:  
Name: Phillips Petroleum Company, a corporation of Delaware, having a place of business at  
Bartlesville, in the county of Washington, in the state of Oklahoma.  
Address: Bartlesville, Oklahoma 74004
3. Nature of conveyance: Assignment executed on March 26, 2002 and March 27, 2002, March 28, 2002.
4. The Patent Application No. is identified in the heading at the top of this page.
5. Correspondence concerning this document should be addressed to:  
RICHMOND, HITCHCOCK, FISH & DOLLAR  
P. O. Box 2443  
Bartlesville, Oklahoma 74005
6. This document involves one (1) patent application.
7. Please charge the total fee (37 CFR 3.41) of \$40.00 to Deposit Account 16-1575.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,  
RICHMOND, HITCHCOCK,  
FISH & DOLLAR

By Lynda S. Jolly  
Lynda S. Jolly  
Registration No. 32,736

PATENT OFFICE USE ONLY

**ASSIGNMENT**

WHEREAS, we, Glenn W. Dodwell, Robert W. Morton and Jason J. Gislason of Bartlesville, Oklahoma have made a certain invention entitled:

**DESULFURIZATION AND SORBENTS FOR SAME**

which is described and claimed in that application for Letters Patent of the United States having attorneys docket designation 33936US1,

and WHEREAS, Phillips Petroleum Company, a corporation of Delaware, is desirous of acquiring an interest in said invention,

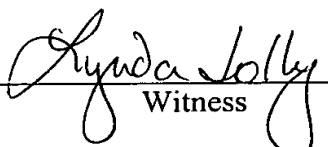

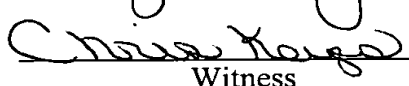
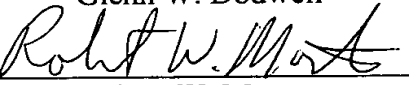

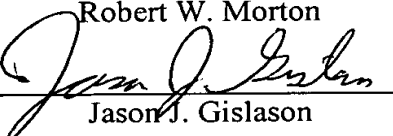
NOW, THEREFORE, in consideration of one dollar, receipt of which is hereby acknowledged, and other good and valuable consideration, we, Glenn W. Dodwell, Robert W. Morton and Jason J. Gislason by these presents do sell, assign, and transfer unto Phillips Petroleum Company the full and exclusive right, for the territory of the United States of America and for all foreign countries, in and to the said invention, as described in said application executed on even dates herewith, preparatory to obtaining Letters Patent of the United States therefor; said invention, application and Letters Patent to be held and enjoyed by the said Phillips Petroleum Company, for its own use and behalf, and for its legal representatives, to the full end of the term for which said patent or patents may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made.

AND we do further agree to sign all papers, make all rightful oaths and do all requisite acts for the filing of any disclaimer or for the filing and assignment of any divisional, continuing, reissue or foreign application or applications based on the present application.

AND we do further agree to communicate to Phillips Petroleum Company, its successors, assigns or other legal representatives, such facts relating to said invention as may be known to us, and to testify as to such facts in any prosecution, interference or litigation.

Executed by the undersigned on the dates indicated.

In the presence of

 _____ Witness	 _____ Glenn W. Dodwell	<u>03/27/02</u> _____ Date
 _____ Witness	 _____ Robert W. Morton	<u>03/26/02</u> _____ Date
 _____ Witness	 _____ Jason J. Gislason	<u>3/28/02</u> _____ Date

Post Office Address:

**RICHMOND, HITCHCOCK, FISH & DOLLAR**  
P.O. Box 2443  
Bartlesville, Oklahoma 74005